## Quiz 10 Solutions, Sections 107—112

## **True-false**

**1.** If J is a Jordan canonical form of a linear operator T and  $c \in \mathbb{R}$ , then cJ is a Jordan canonical form of the linear operator cT.

Solution. False A Jordan canonical form has to have 1's right above the diagonal entries, but cJ would have c's on that position. So, it is not true.

**2.**  $T \in M_{n \times n}(\mathbb{R})$  defines a linear transformation from  $M_{n \times n}(\mathbb{R})$  to itself defined by  $A \mapsto TA$ . There exists a *T*-invariant subspace of  $M_{n \times n}(\mathbb{R})$  with dimension less than or equal to n.

Solution. True By Cayley-Hamilton theorem, the T-cyclic subspace generated by I has dimension less than or equal to n.  $(\{I, T, T^2, \dots, T^n\}$  is linearly dependent.)  $\Box$ 

**3.** The sum of two *T*-invariant subspaces is also *T*-invariant.

Solution. True If  $T(U) \subset U$  and  $T(W) \subset W$ , then  $T(U+W) \subset U+W$ .

4. Let T be a linear operator on a finite-dimensional vector space V whose characteristic polynomial splits, and let  $\lambda_1, \dots, \lambda_k$  be the distint eigenvalues of T. For each i, let  $\beta_i$  be a basis of  $E_{\lambda_i}$ , then  $\beta_1 \cup \dots \cup \beta_k$  is a Jordan canonical basis of T.

Solution. False First of all,  $\beta_1 \cup \cdots \cup \beta_k$  is not even a basis unless T is diagonalizable. Second of all, even if you consider  $K_{\lambda_i}$  (the generalized ones), you still need to choose  $\beta_i$ 's very carefully to get a Jordan canonical basis.

5. Every Jordan block matrix has a unique eigenvalue.

Solution. True By definition, a Jordan block is the upper triangular matrix whose diagonal entries are the same numbers and there is 1 right above each diagonal entry. It is easy to see that it has only one eigenvalue.  $\Box$ 

6. Any *T*-invariant subspace *W* of *V* is a *T*-cyclic subspace.

Solution. False For  $\mathcal{I}_V : V \to V$ , the only kind of *T*-cyclic subspaces is the span of one single vector. But, *V* is a *T*-invariant subspace of *V*. In particular, as long as dim V > 1, this becomes a counterexample.

## Written

**Version 1** Suppose that  $A \in M_{3\times 3}(\mathbb{C})$  has only one eigenvalue 1 and the geometric multiplicity  $m_g(1)$  is 2. Prove that  $(A - I)^2 = O_{3\times 3}$ .

Solution. We can consider all possible Jordan canonical forms J of  $3 \times 3$  complex matrices with only one eigenvalue 1. We know that any similar matrices have the same geometric multiplicities, so we can compute all possible cases where  $m_g(1) = 2$ . Ignoring the order issue, we get

$$J = \begin{pmatrix} 1 & & \\ & 1 & 1 \\ & & 1 \end{pmatrix}.$$

You can easily compute that  $(J - I)^2 = O_{3\times 3}$ . However,  $J = QAQ^{-1}$  for some invertible Q. Hence,  $(A - I)^2 = (Q^{-1}JQ - I)^2 = Q^{-1}(J - I)^2Q = O_{3\times 3}$ .

**Version 2** Let T be a linear operator on a finite-dimensional vector space V and W be a T-invariant subspace of V. Moreover, suppose that we have three eigenvectors  $v_1$ ,  $v_2$ , and  $v_3$  of T corresponding to all distinct eigenvalues. Prove that if  $v_1 - v_2 + v_3 \in W$ , then each of  $v_1$ ,  $v_2$ , and  $v_3$  belongs to W.

Solution. Let the corresponding eigenvalues of eigenvectors  $v_i$ 's be  $\lambda_i$ 's. As W is T-invariant,  $T(w) \in W$  for any  $w \in W$ . Also, as W is a subspace, we have  $\lambda_1 w \in W$ . Let's pick  $w = v_1 - v_2 + v_3$ . Then  $T(w) - \lambda_1 w \in W$  or  $(T - \lambda_1 \mathcal{I}_V)(w) \in W$ . In the very same way, we can apply  $(T - \lambda_2 \mathcal{I}_V)$  and still get a vector in W. However,  $(T - \lambda_2 \mathcal{I}_V)(T - \lambda_1 \mathcal{I}_V)(v_i)$  for i = 1 and i = 2 are zeros because  $v_i$ 's are killed by  $T - \lambda_i \mathcal{I}_V$ . For  $v_3$ , we get

$$(T - \lambda_2 \mathcal{I}_V)(T - \lambda_1 \mathcal{I}_V)(v_3) = (T - \lambda_2 \mathcal{I}_V)(\lambda_3 - \lambda_1)(v_3) = (\lambda_3 - \lambda_1)(\lambda_2 - \lambda_1)v_3$$

and the coefficient is nonzero. So,  $v_3 \in W$ . In a similar way, by considering  $(T - \lambda_j \mathcal{I}_V)(T - \lambda_k \mathcal{I}_V)$  for (j, k) = (1, 3) and (2, 3), we can deduce that  $v_1$  and  $v_2$  belong to W as well.

**Version 3** Find an example that satisfies the following three conditions:

- 1) T is a linear operator on a finite-dimensional real vector space V and
- W is a T-invariant subspace of V.
- 2) T has some eigenvectors in V.
- 3) T has no eigenvectors in W.

Explain why your example satisfies the above conditions.

Solution. One can start from  $T: V \to V$ , but it turns out that starting from  $T|_W$ :  $W \to W$  is better. What this means is that you can first find a linear transformation that does not have any eigenvectors on W and then build up V by just adding an eigenvector. Let  $W = \mathbb{R}^2$  and T be a linear transformation without eigenvectors in  $\mathbb{R}^2$ , for example, T is the left multiplication by  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . Now, we just take the direct sum  $W \oplus \mathbb{R}$  and define it as V and then extend T by defining T on the second component as the identity map. Then, any vector in  $\mathbb{R}$  will be an eigenvector of Twith the eigenvalue 1. Now 2 and 3 are satisfied. However, in fact, W is T-invariant obviously because its restriction on W was a linear operator from W to W from the beginning. Note that we can explicitly write down the linear operator  $T: \mathbb{R}^3 \to \mathbb{R}^3$ 

as 
$$\begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
 and then  $W = \{(x, y, 0) : x, y \in \mathbb{R}\}.$